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Express Mail #EV785651746US 1AP20 Rec'd PGT/770 3 0 JAN-2006

Attorney Docket # 5367-219PUS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re National Phase PCT Application of

Volker HÄRLE

Int'l PCT Serial No.: PCT/DE2004/001594

Int'l Filing Date::

22 July 2004

For:

Method for the Production of a Plurality of

Opto-Electronic Semiconductor Chips and

Opto-Electronic Semiconductor Chip

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

SIR:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO/SB/08a. Copies of the listed documents are also enclosed.

US Published application no. 2005/0022725 corresponds to DE 102 06 751.

It is respectfully requested that the above information be considered by the Examiner and that the copy of the enclosed Form PTO/SB/08a be returned indicating that such information has been considered.

In accordance with 37 C.F.R §§1.97(g) and (h), the filing of this Information Disclosure Statement should not be construed as a representation that a search has been made or

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that information cited is, or is considered to be, material to patentability as defined in §1.56(b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise indicated, the date of publication indicated for an item is taken from the face of the item and Applicant(s) reserve(s) the right to prove that the date of publication is in fact different.

If any fees or charges are deemed required at this time in connection with the application, the same may be charged to our Patent and Trademark Office Deposit Account No. 03-2412.

Respectfully submitted, COHEN, PONTANI, LIEBERMAN & PAVANE

By:

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Dated: January 30, 2006

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Submitted herewith is a copy of the International Search Report issued in connection with International Application on which the present U.S. National Phase application is based.

Copies of the references cited in the International Search Report have been supplied by WIPO to the USPTO. Therefore, copies of the cited references in the International Search Report are not being provided herewith in accordance with current U.S. practice because they should be on file already at the USPTO.

It is respectfully requested that the Examiner return an initialed copy of the attached Form PTO SB/08A to confirm that the publications supplied by WIPO and listed therein have been considered and made of record.

Respectfully submitted, COHEN, PONTANI, LIEBERMAN & PAVANE

By

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Dated: January 30, 2006

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Substitute for Form 1449/PTO	Complete if Known		
	Application Number	10/566521	
INFORMATION DISCLOSURE	Filing Date		
STATEMENT BY APPLICANT	First Named Inventor	Volker HÄRLE	
(Use as many sheets as necessary)	Art Unit		
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Sheet 1 of 1	Attorney Docket Number	5367-219PUS	

			U.S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. 1	Document Number Number-Kind-Code ² (I ^{f known)}	Publication Date - MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-2003/0138983 A1	07/24/2003	Biwa et al.	
-		US-2005/0022725 A1	02/03/2005	Jürgensen et al.	
		US-			

	FOREIGN PATENT DOCUMENTS							
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines,	Te		
Initials*	No. '	Country Code ³⁻ Number ⁴⁻ Kind-Code ⁵ (if known	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear			
		EP 1 329 961 A2	01/17/2003	Sugiyama et al.				
		DE 102 06 751 A1	07/03/2002	Jürgensen et al.				
		DE 199 11 717 A1	09/28/2000	Späth et al.		Abstract		

Examiner /Matthew Song/	Date Considered	5/9/2010	_

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Officer, U.S. Department of Commerce Washington DC 20231. DO NOTSEND FEES OF COMPLETE FORMS TO HIN NOTSEND (1-800-786-9199) (1-800-786-9199) and select option 2.

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INFORMATION DISCLOSURE				First Named Inventor	Volker HÄRLE	
STATEMENT BY APPLICANT		Art Unit				
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Sheet	2	of	2	Attorney Docket Number	5367-219PUS	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	:	P.R. Hageman et al., "Improvement of the Optical and Structural Properties of MOCVD Grown GaN on Sapphire by an in-situ SiN Treatment", Willey-	
		VCH Verlag Berlin GmbH, phys. Stat. Sol. (a), Vol. 88, No. 2, pp. 659-662, (2001).	
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Examiner Signature	/Matthew Song/	Date Considered	5/9/2010	

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Substitute for Form 1449/PTO				Complete if Known		
				Application Number	10/566521	
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INFORMATION DISCLOSURE				First Named Inventor	Volker HÄRLE	
SIAI	STATEMENT BY APPLICANT (Use as many sheets as necessary)			Art Unit		
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U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. 1	Document Number Number-Kind-Code ^{2 (if} known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		US-6,110,277 A	08/29/2000	Braun et al.		
		US-				
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Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or	T ⁶
		Country Code ³ Number ⁴ Kind-Code ⁵ (if known			Relevant Figures Appear	
		EP 0 472 221 A2	02/26/1992	NEC Corporation		
_		EP 1 005 067 A2	05/31/2000	Sony Corporation		
		EP 0 388 733 A1	09/26/1990	Fujitsu Limited		
		JP 05 226781 A	12/13/1993	Fujitsu Ltd.		

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				Application Number	10/566521	
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	No. 1	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	
		Yang et al., "Selective area deposited blue GaN-InGaN multiple-quantum well light emitting diodes over silicon substrates", Applied Physics	
		Letters, American Institute of Physics, Vol. 76, No. 3, pp. 2/3-275,	
		January 17, 2000	
		Miyata et al., "Selective growth of nanocrystalline Si dots using an ultrathin Si oxide/oxynitride mask", Applied Physics Letters, American	
		Institute of Physics, New York, Vol. 77, No. 11, pp. 1620-1622, September 11, 2000	
·		September 11, 2000	-
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